#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application. No.: 10/645,132 Confirmation No. 7130

Applicant : Fred P. Reinhard Filed : 08/21/2003

TC/A.U. : 1795

Examiner : Wilkins III, Harry D

Docket No. : 05413P003

Customer No. : 8791

Commissioner for Patents PO Box 1450 Alexandria VA 22313-1450

## PRE-APPEAL BRIEF REQUEST FOR REVIEW

Sir:

In response to the Final Action of March 13, 2009, Appellant would like to request a preappeal panel review of the Final Office Action. Claims 1-20 are pending in the present application, and have been rejected under 35 U.S.C. §§ 102(b) and §103(a). A pre-appeal panel review of the application in light of the remarks made herein is respectfully requested. There are several clear errors in the Examiner's rejection and arguments, discussed below.

## A. 35 U.S.C. §102(b): Rejection of Claims 1-2, 6-7 & 11

Claims 1-2, 6-7 and 11 were rejected under 35 U.S.C. §102(b) as anticipated by Inoi. Inoi describes a cell with purported good accuracy and electric power efficiency by binding a mesh-type electrode for electrolysis bulged uniformly outward from the outside surface of a picture frame-shaped frame. As described in the brief "Constitution," Inoi appears to describe a cell for producing chlorine gas from caustic soda and brine. Anode and cathode bodies include passage holes 2, 3 for the anodes and cathodes and passage holes 4 for supplying dilute caustic soda and passage holes 5 for supplying brine. Communicating holes 6, 7 connect a central aperture 8 thereby constituting the ion exchange membrane method. As described "[t]he substantially entire surface of the abovementioned electrode A of said device bulges uniformly outward from the outside surface of the frame A1 and the gasket Pm which has approximately the same shape as the shape of the frame A1

and the thickness larger than the bulging height of the electrode A1 is disposed between the electrode body and the membrane M."

Claims 1-2, 6-7 and 11 describe an apparatus including a first cell frame and a second cell frame. The second cell frame includes an in-flow port to receive an in-flow solution and an out-flow port to output a solution. Both the in-flow port and the out-flow port are placed along a perimeter of the second cell frame. Claim 11 describes an in-flow port and an out-flow port placed along a perimeter of the first cell frame. The parameter is illustrated as elements (205,211) of FIG. 2 of the subject application.

Claims 1-2, 6-7 and 11 are not anticipated by <u>Inoi</u>, because <u>Inoi</u> does not describe a cell frame including an in-flow port and an out-flow port, both placed on a *perimeter* of the cell frame. *Emphasis added*. At best, <u>Inoi</u> describes passage holes 2, 3 and 4, 5 in the body of a frame, albeit it is not clear that such passage holes are in-flow and out-flow ports. Regardless, such passage holes are <u>not</u> placed along a perimeter of a frame.

Appellant respectfully requests withdraw the outstanding §102(b) rejection.

## B. 35 U.S.C. §102(b): Rejection of Claims 1-4, 6-7 & 11

Claims 1-4, 6-7 and 11 were rejected under 35 U.S.C. §102(b) as anticipated by <u>Faita</u>. <u>Faita</u> discloses a method for the production of chlorine from aqueous solutions of hydrochloric acid in a membrane electrolysis cell. The cell comprises a cathode compartment equipped with a gas diffusion cathode fed with air or enriched air or oxygen and an anodic compartment with an anode provided with an electrocatalytic coating for chlorine evolution. Figure 1 shows an electrochemical cell of <u>Faita</u>. The cell comprises an ion exchange membrane 1, cathodic and anodic compartments 2 and 3, anode 4, acid feeding nozzle 5, nozzle 6, wall 7 delimiting the anode compartment, gas diffusion cathode 8, cathode supporting element 9 comprising a thick expanded metal sheet or mesh 10 and a thin expanded metal sheet or mess 11, nozzle 12, nozzle 13 and cathode compartment delimiting wall 14. The cell also includes peripheral gaskets 15 and 16, as seen in Figure 1.

Claims 1-4, 6-7 and 11 describe an apparatus including a first cell frame and a second cell frame, an anode electrode, a cathode electrode and a membrane. A screen spacer is interposed as an interface between the anode electrode and the membrane or the cathode electrode and the membrane. The screen spacer comprises a gasket structure that provides a defined distance between the

Docket No: 05413P003 Page 2 of 5 WWS/jf

membrane and the electrode. Support for the spacer interposed as an interface may be found in the Application at, for example, page 14, lines 9-19.

Claims 1-4, 6-7 and 11 are not anticipated by <u>Faita</u>, because <u>Faita</u> fails to describe a screen spacer interposed as an interface between an electrode and a membrane. As noted previously, peripheral gaskets 15 and 16 of <u>Faita</u> appear to surround the perimeter of the cell of <u>Faita</u>. They are not illustrated between a membrane and an electrode or described as providing a defined distance between the membrane and the electrode.

Appellant respectfully requests withdraw the outstanding §102(b) rejection.

### C. 35 U.S.C. §102(b): Rejection of Claims 14-18

Claims 14-18 were rejected under 35 U.S.C. §102(b) as being anticipated by <u>Inoi</u> with evidence from <u>Brown</u>. First, Appellant respectfully submits that an anticipatory rejection of claims 14-18 cannot be sustained from the teachings of multiple references. Second, claims 14-18 are not obvious over the cited references, because the references do not describe a cell frame *containing* an anode. *Emphasis added*. As noted above, <u>Inoi</u> teaches a cell wherein the substantially entire surface of electrode A of the device bulges uniformly outward from the outside surface of frame A1. Accordingly, frame A1 does <u>not</u> contain electrode A. The invention described in <u>Inoi</u> is directed at achieving the bulging. Thus, there is no motivation, suggestion or prediction from the cited references to contain an electrode in a compartment of a cell frame.

Appellant respectfully requests withdraw this outstanding §102(b) rejection.

#### D. 35 U.S.C. §103(a): Rejection of Claims 8-10 & 19-20

Claims 8-10 and 19-20 were rejected under 35 U.S.C. §103(a) as being obvious over <u>Inoi</u> in view of <u>Hirai</u> and <u>Brown</u>. <u>Hirai</u> is cited for teaching how cell frames are joined. <u>Brown</u> is cited for teaching a transparent or translucent cell frame. Herein, <u>Hirai</u> and <u>Brown</u> do not cure the deficiency of <u>Inoi</u> as noted above.

Claims 19-20 describe a system including a membrane electrolysis unit comprising a first cell frame including a first compartment containing an anode electrode and a second cell frame including a second compartment containing a cathode electrode. As noted above, <u>Inoi</u> does not describe a cell frame including a compartment *containing* an anode electrode. *Emphasis added*. In

Docket No: 05413P003 Page 3 of 5 WWS/jf

fact, <u>Inoi</u> desires its electrode A to fold outward from the outside surface of its corresponding frame A1. Hirai and Brown do not cure the deficiency of the citation of Inoi.

Appellant respectfully requests withdraw this outstanding §103(a) rejection.

# E. 35 U.S.C. §103(a): Rejection of Claims 5 & 13-18

Claims 5 and 13-18 were rejected under 35 U.S.C. §103(a) as obvious over <u>Faita</u> in view of <u>Brown</u>. <u>Brown</u> does not cure the defect of the citation of <u>Faita</u> as it does not disclose a screen spacer interposed as an interface between an electrode and a membrane.

Claims 14-18 describe an apparatus including a first cell frame, a second cell frame, an anode, a cathode and a membrane. A spacer interposed as an interface between the cathode electrode and the first membrane to provide a defined distance between the membrane and the cathode. As noted above, with respect claim 1, <u>Faita</u> does not describe a spacer interposed as an interface between the cathode/membrane. <u>Brown</u> similarly does not disclose this configuration.

Hence, Appellant respectfully requests withdraw this outstanding §103(a) rejection.

# F. 35 U.S.C. §103(a): Rejection of Claims 8-10 & 19-20

Claims 8-10 and 19-20 were rejected under 35 U.S.C. §103(a) as obvious over <u>Faita</u> in view of <u>Hirai</u> and <u>Brown</u>. Claims 8-10 depend from claim 1. Hence, for at least the reasons stated above with respect to claim 1 and <u>Faita</u>, claims 8-10 are not obvious over the cited references. <u>Hirai</u> and <u>Brown</u> do not cure the deficiency noted in <u>Faita</u>. Also, claims 19-20 describe a system including a membrane electrolysis unit as described above. With respect to claim 19, <u>Faita</u> does not describe a spacer interposed as an interface between a frame and a membrane. <u>Hirai</u> and <u>Brown</u> do not cure the deficiency of the citation of Faita in this regard.

Appellant respectfully requests withdraw this outstanding §103(a) rejection.

#### G. 35 U.S.C. §103(a): Rejection of Claims 1-7 & 11-18

The Patent Office rejects claims 1-7 and 11-18 under 35 U.S.C. §103(a) as obvious over <u>Lipsztajn</u> in view of <u>Faita</u> and <u>Brown</u>. <u>Lipsztajn</u> is cited introducing a membrane electrolyzer including a first cell frame including an anode, a second cell frame including a cathode and a membrane positioned between the anode and the cathode. Each of the frames includes two ports. According to the Patent Office, it would be obvious to use a screen spacer of <u>Faita</u> in the cell of

Docket No: 05413P003 Page 4 of 5 WWS/jf

<u>Lipsztajn</u> and the transparent or translucent frame from <u>Brown</u>. As noted above, <u>Faita</u> does not describe a screen spacer interposed as an interface between an electrode and a membrane (claims 1-7 and 11-12) and a spacer interposed as an interface between a cathode and a first membrane (claims 14-18). Accordingly, combining Faita with Lipsztajn would not achieve the teachings of the claims.

Brown also lacks a teaching to cure the defect of the citation noted.

Appellant respectfully requests withdraw this outstanding §103(a) rejection.

H. 35 U.S.C. §103(a): Rejection of Claims 8-10 & 19-20

The Patent Office rejects claims 8-10 and 19-20 under 35 U.S.C. §103(a) as obvious over <a href="Lipsztajn"><u>Lipsztajn</u></a> in view of <u>Faita</u>, <u>Hirai</u> and <u>Brown</u>. Herein, claims 8-10 depend from claim 1 and therefore contain all the limitations of that claim. As noted above, claim 1 is distinguishable from <u>Faita</u> in that <u>Faita</u> does not describe a screen spacer interposed as an interface between an electrode and a membrane. Combining <u>Faita</u> with <u>Lipsztajn</u> and the other references will not teach a screen spacer

interposed as an interface between an electrode and a membrane.

Claims 19-20 describe a system including a membrane electrolysis unit comprising a plurality of screen spacers including a first screen spacer interposed as an interface between a first cell frame and a membrane and a second screen spacer interposed as an interface between a second cell frame and a membrane. As noted above, <u>Faita</u> does not teach such screen spacer. Accordingly, combining Faita with Lipsztajn and the other references does not render the claimed system obvious.

Appellant respectfully requests withdraw this outstanding §103(a) rejection.

Conclusion

Appellant respectfully requests the Review Panel render a decision allowing the rejected claims.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: August 13, 2009 By /Eric T. King/

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